

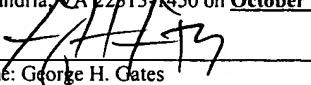
#2
10-23-03
CW
3627

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian J. Wasserman Examiner: (to be assigned)
Serial No.: 10/016,779 Group Art Unit: (to be assigned)
Filed: December 10, 2001 Docket: 9620
Title: PARALLEL SELECTION PROCESSING FOR FINANCIAL PROCESSING IN A
RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 9, 2003.

By: 
Name: George H. Gates

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

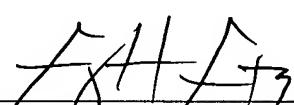
We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- Supplemental Information Disclosure Statement and Form PTO-1449.
- Cited References (7).
- Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-1673 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: 
Name: George H. Gates
Reg. No.: 33,500
GHG/mrj

RECEIVED
OCT 20 2003
GROUP 3600

(PTO TRANSMITTAL - GENERAL)

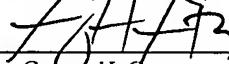


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian J. Wasserman Examiner: (to be assigned)
Serial No.: 10/016,779 Group Art Unit: (to be assigned)
Filed: December 10, 2001 Docket: 9620
Title: PARALLEL SELECTION PROCESSING FOR FINANCIAL PROCESSING IN
A RELATIONAL DATABASE MANAGEMENT SYSTEM

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 9, 2003.

By: 
Name: George H. Gates

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

RECEIVED
OCT 20 2003
1 GROUP 3600
G&C 30145.416-US-01

establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

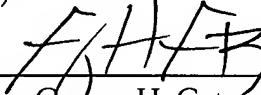
Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: October 9, 2003

GHG/mrj
Enclosures: Form PTO-1449
References cited (7)

By: 
George H. Gates
Reg. No.: 33,500

Form 1449*	Docket Number: 9620	Application Number: 10/016,779
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION		
SIP		

Sept 14 2008

FOREIGN PATENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Curley, Bob, "Royal Bank uncards profitability solution", *Bank Systems & Technology*, April 2000, 2 pages.

RECEIVED

OCT 20 2003

GROUP 3600

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

G&C 30145.416-US-01